Х

*Total of

1

forms are submitted.

RECEIVED CENTRAL FAX CENTER

Doc Code: AP.PRE.REQ

OCT 0 3 2007

PTO/SB/33 (07-05)

Approved for use through xx/xx/200x, OMB 0651-00xx
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Docket Number (Optional) PRE-APPEAL BRIEF REQUEST FOR REVIEW 10305US02 Filed Application Number I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, 04/13/2004 10/822,884 Fax. No. (571) 273-8300 October 3, 2007 First Named Inventor Yung Yip Signature. Art Unit Examiner Typed or printed 2182 Eric D. Levinson Tanh Q Nguyen патте. Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request. This request is being filed with a notice of appeal. The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided. I em the epolicant/inventor. Signature assignee of record of the entire interest. Eric D. Levinson See 37 CFR 3.71, Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/98) Typed or printed name 651-704-3604 attorney or agent of record. 35.814 Registration number Telephone number attorney or agent acting under 37 CFR 1.34. October 3, 2007 Registration number if acting under 37 CFR 1.34 Date NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below

This collection of information is required by \$5 U.S.C. 132. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.8. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of thre you require to complete this form entire reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Mail Stop AF, Commissioner for Patente, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need essistance in completing the form, call 1-800-PTO-9199 and select option 2,

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Yung Yip et al.

Examiner: Tanh Q. Nguyen

Serial No.:

10/822,884

Group Art Unit: 2182

Filed:

April 13, 2004

Docket No.: 10305US02

Due Date:

October 3, 2007

Title:

STATIC DISSIPATIVE HOUSING FOR DATA CARTRIDGE CARRYING

NON-TAPE STORAGE MEDIUM

CERTIFICATE UNDER 37 CFR 1.8: I hereby certify that this correspondence is being transmitted by facsimile to the Commissioner for Patents, Alexandria, VA 22313-1450 on

By:

Name: Eric Decvinson

PRE-APPEAL BRIEF REQUEST FOR REVIEW

RECEIVED
CENTRAL FAX CENTER

OCT 0 3 2007

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir/Madam:

Please consider the following remarks during the Pre-Appeal Brief Conference. As these remarks outline a clear legal or factual deficiency in the rejections, Applicants submits that the Pre-Appeal Brief Request for Review is appropriate.

10/03 '07 15:29 NO.329 05/07 **PECEIVED CENTRAL FAX CENTER**

OCT 0 3 2007

Prc-Appeal Brief Request for Review

Applicant: Yung Yip et al. Serial No.: 10/822,884 Filed: April 13, 2004 Docket No.: 10305US02

Title: STATIC DISSIPATIVE HOUSING FOR DATA CARTRIDGE CARRYING NON-TAPE

STORAGE MEDIUM

REMARKS

Claim Rejections under 35 U.S.C. § 103

Claims 1-8 and 16-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Albretch et al. US Publication No. 2002/0159182 in view of Waggoner et al. US Publication No. 2004/0113129 and "STAT: Guide To LNP's Line Of Thermoplastic Composites For Electrostatic Dissipation" (STAT).

Applicants respectfully traverse this rejection and submit that the Albretch, Waggoner, and STAT references, individually or in combination, do <u>not</u> disclose a data cartridge as claimed in independent claim 1 or independent claim 16 including a housing adapted to dissipate a static charge of the data cartridge.

The Examiner recognizes that the Albretch reference does not teach the housing being formed of materials having a surface resistivity in a range of 10⁶ ohms/square to 10¹² ohms/square (Final Office Action, page 5), and suggests that it would have been obvious to one of ordinary skill in the art at the time the invention was made to use static dissipative materials with a surface resistivity in a range of 10⁶ ohms/square to 10¹² ohms/square for the housing (Final Office Action, page 6).

Applicants note that the Albretch reference discloses a data storage cartridge having a data storage device, such as an encased magnetic disk drive assembly, supported and mounted in a cartridge shell (see, e.g., Abstract; Figs. 3 and 4). The Albretch reference discloses that the data storage cartridge has a backing plate 70 electrically coupled to the data storage device by means of land 85 of flex cable 65, to a ground thereof, thereby forming an electrostatic discharge path from the data storage device to the backing plate and through the electrically semiconductive material to the alignment pins of the transfer station, which are electrically grounded (para. [0066]; see also Fig. 18). Thus, backing plate 70 of the data storage cartridge of the Albretch reference provides an electrostatic discharge path for the data storage cartridge.

As backing plate 70 of the data storage cartridge of the Albretch reference <u>already</u> provides an electrostatic discharge path, Applicants submit that forming the cartridge shell of the

Pre-Appeal Brief Request for Review

Applicant: Yung Yip et al. Serial No.: 10/822,884 Filed: April 13, 2004 Docket No.: 10305US02

Title: STATIC DISSIPATIVE HOUSING FOR DATA CARTRIDGE CARRYING NON-TAPE

STORAGE MEDIUM

data storage cartridge of the Albretch reference of a static dissipative material would <u>not</u> have been obvious for at least the reason that the data storage cartridge of the Albretch reference already includes an electrostatic discharge path.

In addition, the Albretch reference provides that backing plate 70 is formed of a semiconductive plastic material having electrical resistivity whereby, in one example, the material has sufficient embedded carbon to provide the electrical resistivity, comprising 10%-30% carbon filled plastic (para. [0066]). In one specific example, the Albretch reference provides that the material is a carbon filled plastic comprising a 20% carbon filled polycarbonate, called "Stat-Kon DC-1004-FR" (para. [0066]).

Thus, the inventors of the Albretch reference full aware of the Stat-Kon line of materials, chose <u>not</u> to form the cartridge shell of the data storage cartridge of a Stat-Kon material and chose <u>only to form backing plate 70 of a Stat-Kon material</u>. Since the inventors of the Albretch reference, full aware of the Stat-Kon line of materials, chose <u>not</u> to form the cartridge shell of the data storage cartridge of a Stat-Kon material, Applicants submit that the Albretch reference actually <u>teaches away from</u> forming the cartridge shell of a static dissipative material. A *prima facie* case of obviousness may be rebutted by showing that the art, in any material respect, teaches away from the claimed invention. *In re Geisler*, 116 F.3d 1465, 1471, 43 USPQ2d 1362, 1366 (Fed. Cir. 1997). Thus, Applicants submit that forming the cartridge shell of the data storage cartridge of the Albretch reference of a static dissipative material would <u>not</u> have been obvious.

In view of the above, Applicants submit that independent claims 1 and 16, and the dependent claims depending therefrom, are each patentably distinct from the Albretch, Waggoner, and STAT references and, therefore, are each in a condition for allowance. Applicants, therefore, respectfully request that the rejection of claims 1-8 and 16-20 under 35 U.S.C. 103(a) be reconsidered and withdrawn, and that claims 1-8 and 16-20 be allowed.

Pre-Appeal Brief Request for Review

Applicant: Yung Yip et al. Serial No.: 10/822,884 Filed: April 13, 2004 Docket No.: 10305U\$02

Title: STATIC DISSIPATIVE HOUSING FOR DATA CARTRIDGE CARRYING NON-TAPE

STORAGE MEDIUM

CONCLUSION

In view of the above, Applicants respectfully submit that pending claims 1-8 and 16-20 recite patentable subject matter, are in form for allowance, and are not taught or suggested by the cited references. Therefore, reconsideration and withdrawal of the rejections and allowance of claims 1-8 and 16-20 is respectfully requested.

The Examiner is invited to telephone the Applicants' representative at the below-listed number to facilitate prosecution of this application.

Respectfully submitted,

Date:

Eric Levinson Reg. No. 35,814

IMATION CORP. PO Box 64898 St Paul, MN US 55164 Telephone: (651) 704-3604 Facsimile: (651) 704-5951